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Attorney Docket No.: 8836-214 (IB12285-US)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Hong-Kook Min et al. Examiner: Matthew C. Landau  
SERIAL NO.: 10/726,911 Group Art Unit: 2815  
FILED: December 23, 2003 Dated: July 26, 2005  
FOR: NON-VOLATILE MEMORY DEVICE HAVING DUAL GATE  
METHOD OF FORMING THE SAME

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT TRANSMITTAL FORM**

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small entity status of this application under 37 C.F.R. §§1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity under 37 C.F.R. §§1.9 and 1.27 is enclosed.
- ☒ No additional fee is required.

For	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate (Small Entity)	Addit. Fee	Rate	Addit. Fee
TOTAL CLAIMS*	16	34	0	x 25 =	\$0	x 50 =	\$0
INDEPENDENT CLAIMS	2	4	0	x 100	\$0	x 200 =	\$0
<input type="checkbox"/> First Presentation of Multiple Dep. Claim				180		360	\$0

\* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.  
\*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".  
\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".  
The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 26, 2005.

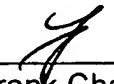
Dated: July 26, 2005

Frank Chau

- ☐ Please charge Deposit Account No. 50-0679 in the amount of \$\_\_\_\_. Two (2) copies of this sheet are enclosed.
- ☐ The amount of \$\_\_\_\_\_ is authorized to be charged to a Credit Card. Form PTO-2038 is enclosed.
- ☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §§1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0679. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0679 therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

F. CHAU & ASSOCIATES, LLC  
130 Woodbury Road  
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(516) 692-8888

Respectfully submitted,

  
\_\_\_\_\_  
Frank Chau  
Reg No. 34,136  
Attorney for Applicant(s)



**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Hong-Kook Min et al. Examiner: Matthew C. Landau  
Serial No.: 10/726,911 Group Art Unit: 2815  
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OF FORMING THE SAME**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT UNDER 37 C.F.R. §1.111**

Sir:

In response to the Office Action ("Office Action") dated April 27, 2005, please reconsider the above-identified application in light of the following claim amendment and remarks.

**Amendments to the Specification** begins on page 2 of this paper.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 3 of this paper.

**Remarks/Arguments** begin on page 7 of this paper.

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I hereby certify that this correspondence (and any document referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail, postage paid in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450, on July 26, 2005.

Dated: July 26, 2005

  
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Frank Chau